

NOTICE OF SPECIFIED PENALTY

Date of Issue: July 6, 2017		Payment Due Date: August 8, 2017	
MSA File Number	2017-262	Specified Penalty Amount	\$250
Registered Entity Name	Cenovus FCCL Ltd.		
Asset ID (if applicable)	CL01	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule	306.4	Date of Contravention	June 17, 2017
Date of Referral/Self Report	June 20, 2017	This is the first contravention by this asset for this rule within a rolling 12 month period.	

EVENT DETAILS

Cenovus FCCL Ltd. planned a transmission outage associated with CL01 that was originally scheduled to end on June 17, 2017. Information available to the MSA indicates that Cenovus FCCL Ltd. subsequently changed the end date to June 22, 2017 and did not inform the AESO until June 19, 2017 of the date change. Subsection 4(1) of section 306.4 of the ISO rules states:

The legal owner of a transmission facility must submit to the ISO any changes to a previously submitted planned outage request, including cancellations, as soon as possible, and no later than 10:00 am on the business day before the first day impacted by the intended change to the previously submitted planned outage request.

FINDINGS

Based upon the information obtained by the MSA, the MSA is satisfied that the event was a contravention of ISO rule 306.4.

MATERIAL FACTS

The material facts relied upon by the MSA include the following:

1. Self-report submitted by Cenovus FCCL Ltd. to the MSA dated June 20, 2017.
2. MSA request for additional information from Cenovus FCCL Ltd. dated June 22, 2017.
3. Additional information provided by Cenovus FCCL Ltd. to the MSA dated June 22, 2017.

DELIVERY OF PAYMENT

Payment by cheque or certified funds is to be made out to the "General Revenue Fund c/o Minister of Finance", and delivered to the Alberta Utilities Commission (AUC) at: 4th Floor, 425 - 1st Street S.W., Calgary, Alberta, T2P 3L8. Questions in respect of delivery of payment should be addressed to the Director of Finance, AUC. The payment should reference this notice and related MSA File #. Delivery of payment should also be confirmed by email to compliance@albertamsa.ca, with copy to the following AUC personnel: Jeremy Smith (Financial Accountant) jeremy.smith@auc.ab.ca, and Greg Andrews (Investigator) greg.andrews@auc.ab.ca.

NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5(1) of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at compliance@albertamsa.ca.

SIGNATURE

Signature	"Original Signed"	Signature Date	July 6, 2017
Name	Doug Doll	Title	Director, Compliance and Corporate Services